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PATENT & TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Sturgis, et al.

2005 NOV -8 PM 4:11

SERIAL NO: 09/775,278

GROUP ART UNIT NO.: 3626

PATENT & TRADEMARK OFFICE

FILED: February 1, 2001

EXAMINER: PORTER, RACHEL L

FOR: "Method and Apparatus For Improving The Loss Ratio On An Insurance Program Book"

ATTORNEY DOCKET NO.: A99285US (98222/1)



NOTIFICATION OF ERROR IN SMALL ENTITY STATUS
UNDER 37 C.F.R. § 1.28(c)

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OFFICE OF PETITIONS

Mail Stop 16,
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Under 37 C.F.R. § 1.28(c), Applicant respectfully requests that the Director excuse Applicant's good faith error in establishment and payment fees as a small entity in the above captioned application. The payment for this deficiency is also included with this paper.

Below is a table of itemization as required by 37 C.F.R. § 1.28(c)(2):

<u>Description of Fee</u>	<u>Current Fee</u> (large entity)	<u>Fee Paid</u> (small entity)	<u>Date Paid</u>	<u>Deficiency</u>
Excess Indep Claims (37CFR1.16(h))	\$200 (1 x \$200)	\$100	February 1, 2005	\$100
Claims exceed 20 (37CFR1.16(i))	\$700 (14 x \$50)	\$350	February 1, 2005	\$350

TOTAL DEFICIENCY PAYMENT OWED

\$450

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Please charge any fees due or credit any overpayment to Deposit Account No. 50-0694.

Respectfully submitted,

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop 16, Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on the 2 day of

November, 2005.

Brett A. North

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